

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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**Moy, Ed**

Expert/Consultant, Department of Transportation

Date of Termination: 03/05/2018

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Moy, Ed [electronically signed on 03/20/2018 by Moy, Ed in Integrity.gov] - Filer received a 24 day filing extension.**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Carlson, Terence W, Certifying Official [electronically signed on 04/19/2018 by Carlson, Terence W in Integrity.gov]**

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Other review conducted by

**/s/ Herr, Ellen A, Ethics Official [electronically signed on 03/27/2018 by Herr, Ellen A in Integrity.gov]**

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U.S. Office of Government Ethics Certification

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Trinity International University	Deerfield, Illinois	University/College	Board of Regents	6/2016	Present
2	Newsmax.com	West Palm Beach, Florida	Corporation	Contributor	8/2013	Present
3	Seattle Pacific University	Seattle, Washington	University/College	Advisory Board Member, School of Business and Economics	/2013	Present
4	Whitman Publishing	Atlanta, Georgia	Corporation	Author	12/2013	Present
5	Numismatic Guarantee Corporation	Sarasota, Florida	Corporation	Autograph provider	1/2016	Present
6	U.S. Money Reserve	Austin, Texas	Corporation	Consultant	8/2016	Present
7	Lone Star Tangible Assets	Austin, Texas	Corporation	Consultant	7/2016	Present
8	ICOx Innovations	Venice, California	Corporation	Board of Directors	2/2018	Present
9	AID:Tech	New York, New York	Corporation	Board of Advisors	1/2017	Present
10	Valaurum, Inc.	Portland, Oregon	Corporation	Board of Advisors	10/2017	Present
11	OmniSparx	Chicago, Illinois	Corporation	Executive Advisor	3/2018	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Whitman Publishing	N/A		Royalties from American Gold and Platinum Eagles: A Guide to the U.S. Bullion Coin Program published December 3, 2013.	\$225
2	Numismatic Guarantee Corporation	N/A		Payment for autographs.	\$400,000
3	U.S. Money Reserve (Consulting on various coin projects.)	N/A		Consulting Fees	\$113,443
4	Lone Star Tangible Assets (Selling precious metal bullion and collectible coins.)	N/A		Consulting Fees	\$21,500
5	ICoX Innovations, stock options (value not readily ascertainable): 100,000 non-qualified stock options at the strike price of \$0.60 per share. The expiration date is February 9, 2028. 1/3 the options were vested on February 9, 2018, 1/3 will vest on February 9, 2019, and 1/3 will vest on February 9, 2020.	N/A			None (or less than \$201)
6	ICoX Innovations (Designs crypto-economies and their ICOs for established companies.)	N/A		Director Fees	\$2,828
7	Valaurum, Inc., Was granted stock options on 15,000 shares. (value not readily ascertainable)	N/A			None (or less than \$201)
8	Speech honorarium for Women of Washington - March 9, 2017	N/A	None (or less than \$1,001)	Honorarium for speech.	\$1,000
9	Speech honorarium from BizNet Software - September 19, 2017	N/A	None (or less than \$1,001)	Honorarium for speech.	\$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Speech honorarium from BankTel Web Apps - September 6, 2017	N/A	\$1,001 - \$15,000	Honorarium for speech.	\$7,500
11	Speech honorarium from Trinity International University - October 18, 2017	N/A	None (or less than \$1,001)	Honorarium for speech.	\$1,000
12	Speech honorarium from Blockchain Impact 2017 - December 8, 2017	N/A	\$1,001 - \$15,000	Honorarium for speech.	\$5,500
13	Speech honorarium from C3 Leaders - December 2, 2017	N/A	None (or less than \$1,001)	Honorarium for speech.	\$500

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	ICoX Innovations	Venice, California	I plan on retaining my options and have no plans to exercise them until after all the options are vested.	2/2018
2	Valaurum, Inc.	Portland, Oregon	I plan on retaining my options and have no plans to exercise them.	10/2017

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rollover IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Wells Fargo Money Market Fund - Class A (STGXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Northwestern Mutual Life, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

## 6. Other Assets and Income

None

## 7. Transactions

None

## 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	U.S. Government	See Endnote	Federal taxes paid on an installment agreement	\$15,001 - \$50,000	2014	3.5%	32 months

## 9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	U.S. Money Reserve	Austin, Texas	Travel expenses related to business trip for a coin project: London to view an exhibit at the British Museum, Berlin for meetings at the World Money Fair, and Rome for meetings with the Vatican and Italian Mint.	\$8,302.83
2	Numismatic Guarantee Corporation	Sarasota, Florida	Travel expenses related to representing NGC at the Florida United Numismatist coin show.	\$2,034.95
3	Women of Washington	Bellevue, Washington	Travel expenses related to a speech.	\$596.58
4	U.S. Money Reserve	Austin, Texas	Travel expenses related to meeting at U.S. Money Reserve offices regarding the Vatican and Reagan coin programs.	\$1,443.02
5	Valaurum	Portland, Oregon	Travel expenses for speech at FreedomFest on behalf of Valaurum.	\$1,269.19
6	BizNet Software	Dallas, Texas	Travel expenses related to speech given to BizNet's clients.	\$867.72
7	Lee Minshull Rare Coins	Palos Verdes, California	Travel expenses related to developing a client (Premier Coin Galleries) for Moy signatures for NGC.	\$1,401.14
8	BankTel	Nashville, Tennessee	Travel expenses related to speech given to their clients.	\$586.79
9	Lee Minshull Rare Coins	Palos Verdes, California	Travel expenses related to developing client (Montgomery Chandler) for Moy signatures for NGC.	\$1,319.84
10	ICOx Innovations	Venice, California	Travel expenses related to attending a board meeting.	\$1,084.61
11	U.S. Money Reserve	Austin, Texas	Travel expenses related to coin project with the Reagan Library.	\$826.94

## Endnotes

PART	#	ENDNOTE
8.	1	Taxes were on a stock grant. IRS allowed us to pay the taxes on an installment plan.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**



Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

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